2d Session

920 Congress | HOUSE OF REPRESENTATIVES

Report No. 92-1444

### COMMISSION ON CIVIL RIGHTS

SEPTEMBER 26, 1972.—Ordered to be printed

Mr. Celler, from the the committee of conference, submitted the following

#### CONFERENCE REPORT

[To accompany H.R. 12652]

The committee of conference on the disagreeing votes of the two Houses on the amendments of the Senate to the bill (II.R. 12652) to extend the life of the Commission on Civil Rights, to expand the jurisdiction of the Commission to include discrimination because of sex, to authorize appropriations for the Commission, and for other purposes, having met, after full and free conference, have agreed to recommend and do recommend to their respective Houses as follows:

That the Senate recede from its amendments numbered 1, 2, 3, 4, 5,

6, 7, and 10.

That the House recede from its disagreement to the amendments of the Senate numbered 8 and 9, and agree to the same.

> EMANUEL CELLER, JACK BROOKS, WILLIAM L. HUNGATE, William M. McCullocii, EDWARD HUTCHINSON, Managers on the Part of the House. PHILIP A. HART, ROMAN HRUSKA, Hugh Scott, HIRAM L. FONG, Managers on the Part of the Senate.

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### JOINT EXPLANATORY STATEMENT OF THE COMMITTEE OF CONFERENCE

The managers on the part of the House and the Senate at the conference on the disagreeing votes of the two Houses on the amendments of the Senate to the bill (II.R. 12652) to extend the life of the Commission on Civil Rights, to expand the jurisdiction of the Commission to include discrimination because of sex, to authorize appropriations for the Commission, and for other purposes, submit the following joint statement to the House and the Senate in explanation of the effect of the action agreed upon by the managers and recommended in the accompanying conference report:

Amendments numbered 1 through 7: Delete technical renumbering changes proposed by the Senate and appropriate only if amendment

numbered 10 had been retained.

Amendment numbered 8: Authorizes Civil Rights Commission appropriation for fiscal year 1973 of \$5,500,000, as proposed by the

Senate, instead of \$6,500,000, as proposed by the House.

Amendment numbered 9: Authorizes annual Civil Rights Commission appropriation for fiscal years 1974 through 1978 of \$7,000,000, as proposed by the Senate, instead of \$8,500,000, as proposed by the House.

Amendment numbered 10: Senate recedes.

EMANUEL CELLER, JACK BROOKS, WILLIAM L. HUNGATE, WILLIAM M. McCullocii, EDWARD HUTCHINSON, 11; And Managers on the Part of the House.

PHILIP A. HART, ROMAN L. HRUSKA, Hugh Scott, HIRAM L. FONG,

Managers on the Part of the Senate.

H. Rept. 92-1444

92D CONGRESS 1ST SESSION

## S. 1438

#### IN THE HOUSE OF REPRESENTATIVES

DECEMBER 9, 1971
Referred to the Committee on Post Office and Civil Service

### AN ACT

To protect the civilian employees of the executive branch of the United States Government in the enjoyment of their constitutional rights and to prevent unwarranted governmental invasions of their privacy.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 Section 1. It shall be unlawful for any officer of any
- 4 executive department or any executive agency of the United
- 5 States Government, or for any person acting or purporting
- 6 to act under his authority, to do any of the following things:

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(a) To require or request, or to attempt to require or

| 2    | request, any civilian employee of the United States serving     |
|------|-----------------------------------------------------------------|
| 3    | in the department or agency, or any person seeking employ-      |
| 4    | ment in the executive branch of the United States Govern-       |
| 5    | ment, to disclose his race, religion, or national origin, or    |
| 6    | the race, religion, or national origin of any of his fore-      |
| 7    | bears: Provided, however, That nothing contained in this        |
| 8    | subsection shall be construed to probibit inquiry concerning    |
| 9    | the citizenship of any such employee or person if his citizen-  |
| 10   | ship is a statutory condition of his obtaining or retaining his |
| 11   | employment: Provided further, That nothing contained in         |
| 12   | this subsection shall be construed to prohibit inquiry concern- |
| 13   | ing the national origin or citizenship of any such employee or  |
| 14   | person or of his forebears, when such inquiry is deemed         |
| 15   | necessary or advisable to determine suitability for assignment  |
| 16 - | to activities or undertakings related to the national security  |
| 1.7  | within the United States or to activities or undertakings of    |
| 18   | any nature outside the United States.                           |
| 19   | (b) To state or intimate, or to attempt to state or inti-       |
| 20   | mate, to any civilian employee of the United States serving     |
| 21   | in the department or agency that any notice will be taken of    |
| 22   | his attendance or lack of attendance at any assemblage, dis-    |
| 23   | cussion, or lecture held or called by any officer of the execu- |
| 24   | tive branch of the United States Government, or by any per-     |
| 25   | son acting or purporting to act under his authority, or by any  |
|      |                                                                 |

- 1 outside parties or organizations to advise, instruct, or in-
- 2 doctrinate any civilian employee of the United States serving
- 3 in the department or agency in respect to any matter or
- 4 subject other than the performance of official duties to which
- 5 he is or may be assigned in the department or agency, or
- 6 the development of skills, knowledge, or abilities which
- 7 qualify him for the performance of such duties: Provided,
- 8 however, That nothing contained in this subsection shall be
- 9 construed to prohibit taking notice of the participation of a
- 10 civilian employee in the activities of any professional group
- 11 or association.
- (c) To require or request, or to attempt to require or
- 13 request, any civilian employee of the United States serving
- 14 in the department or agency to participate in any way in
- 15 any activities or undertakings unless such activities or under-
- 16 takings are related to the performance of official duties to
- 17 which he is or may be assigned in the department or agency,
- 18 or to the development of skills, knowledge, or abilities which
- 19 qualify him for the performance of such duties.
- 20 (d) To require or request, or to attempt to require
- 21 or request, any civilian employee of the United States serv-
- 22 ing in the department or agency to make any report con-
- 23 cerning any of his activities or undertakings unless such
- 24 activities or undertakings are related to the performance of
- 25 official duties to which he is or may be assigned in the

department or agency, or to the development of skills, knowl-2 edge, or abilities which qualify him for the performance of such duties, or unless there is reason to believe that the 3 4 civilian employee is engaged in outside activities or employment in conflict with his official duties. 5 6(e) To require or request, or to attempt to require or 7 request, any civilian employee of the United States serving in the department or agency, or any person applying for 8 9 employment as a civilian employee in the executive branch 10 of the United States Government, to submit to any interroga-11 tion or examination or to take any psychological test which 12 is designed to elicit from him information concerning his 13 personal relationship with any person connected with him 14 by blood or marriage, or concerning his religious beliefs or 15 practices, or concerning his attitude or conduct with respect 16 to sexual matters: Provided, however, That nothing con-17 tained in this subsection shall be construed to prevent 18 a physician from eliciting such information or authorizing 19 such tests in the diagnosis or treatment of any civilian 20employee or applicant where such physician deems such 21information necessary to enable him to determine whether 22 or not such individual is suffering from mental illness: Pro-23vided further, however, That this determination shall be

made in individual cases and not pursuant to general practice

or regulation governing the examination of employees or

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- 1 applicants according to grade, agency, or duties: Provided
- 2 further, however, That nothing contained in this subsection
- 3 shall be construed to prohibit an officer of the department or
- 4 agency from advising any civilian employee or applicant of a
- 5 specific charge of sexual misconduct made against that per-
- 6 son, and according him an opportunity to refute the charge.
- 7 (f) To require or request, or attempt to require or
- 8 request, any civilian employee of the United States serving
- 9 in the department or agency, or any person applying for
- 10 employment as a civilian employee in the executive branch
- 11 of the United States Government, to take any polygraph
- 12 test designed to elicit from him information concerning his
- 13 personal relationship with any person connected with him
- 14 by blood or marriage, or concerning his religious beliefs or
- 15 practices, or concerning his attitude or conduct with respect
- 16 to sexual matters.
- (g) To require or request, or to attempt to require
- 18 or request, any civilian employee of the United States serving
- 19 in the department or agency to support by personal endeavor
- 20 or contribution of money or any other thing of value the
- 21 nomination or the election of any person or group of persons
- 22 to public office in the Government of the United States or of
- 23 any State, district, Commonwealth, territory, or possession
- 24 of the United States, or to attend any meeting held to pro-
- 25 mote or support the activities or undertakings of any political

- 1 party of the United States or of any State, district, Common-
- 2 wealth, territory, or possession of the United States.
- 3 (h) To coerce or attempt to coerce any civilian
- 4 employee of the United States serving in the department or
- 5 agency to invest his earnings in bonds or other obligations
- 6 or securities issued by the United States or any of its depart-
- 7 ments or agencies, or to make donations to any institution
- 8 or cause of any kind: Provided, however, That nothing con-
- 9 tained in this subsection shall be construed to prohibit any
- officer of any executive department or any executive agency
- of the United States Government, or any person acting or
- 12 purporting to act under his authority, from calling meetings
- and taking any action appropriate to afford any civilian em-
- 14 ployee of the United States the opportunity voluntarily to
- 15 invest his earnings in bonds or other obligations or securities
- 16 issued by the United States or any of its departments or
- agencies, or voluntarily to make donations to any institution
- 18 or cause.
- (i) To require or request, or to attempt to require
- 20 or request, any civilian employee of the United States
- 21 serving in the department or agency to disclose any items
- 22 of his property, income, or other assets, source of income,
- 23 or liabilities, or his personal or domestic expenditures or
- 24 those of any member of his family or household: Provided,
- 25 however, That this subsection shall not apply to any civilian

| 1          | employee who has authority to make any final determination      |
|------------|-----------------------------------------------------------------|
| <b>2</b>   | with respect to the tax or other liability of any person, cor-  |
| 3          | poration, or other legal entity to the United States, or        |
| 4          | claims which require expenditure of moneys of the United        |
| 5.         | States: Provided further, however, That nothing contained       |
| 6          | in this subsection shall prohibit the Department of the         |
| 7          | Treasury or any other executive department or agency of         |
| 8          | the United States Government from requiring any civilian        |
| 9          | employee of the United States to make such reports as may       |
| 10         | be necessary or appropriate for the determination of his        |
| 11         | liability for taxes, tariffs, custom duties, or other obliga-   |
| 12         | tions imposed by law.                                           |
| 13         | (j) To require or request, or to attempt to require             |
| 14         | or request, any civilian employee of the United States          |
| <b>1</b> 5 | embraced within the terms of the proviso in subsection          |
| 16         | (i) to disclose any items of his property, income, or           |
| 17         | other assets, source of income, or liabilities, or his personal |
| 18         | or domestic expenditures or those of any member of his          |
| 19         | family or household other than specific items tending to        |
| 20         | indicate a conflict of interest in respect to the perform-      |
| 21         | ance of any of the official duties to which he is or may be     |
| 22         | assigned.                                                       |
| 23         | (k) To require or request, or to attempt to require or          |
| 24         | request, any civilian employee of the United States serving     |
| 25         | in the department or agency who is under investigation for      |

- misconduct, to submit to interrogation which could lead to 1 disciplinary action without the presence of counsel or other 2person of his choice, if he so requests: Provided, however, 3 That a civilian employee of the United States serving in the 4 Central Intelligence Agency or the National Security Agency may be accompanied only by a person of his choice who 6 serves in the agency in which the employee serves, or by 7 8 counsel who has been approved by the agency for access to 9 the information involved. (1) To discharge, discipline, demote, deny promotion 10 to, relocate, reassign, or otherwise discriminate in regard to 11 any term or condition of employment of, any civilian em-12 13 ployee of the United States serving in the department or agency or to threaten to commit any of such acts, by reason 14 of the refusal or failure of such employee to submit to or 15 16 comply with any requirement, request, or action made un-17 lawful by this Act, or by reason of the exercise by such civilian employee of any right granted or secured by this 18 19 Act. 20 SEC. 2. It shall be unlawful for any officer of the United 21 States Civil Service Commission, or for any person acting 22or purporting to act under his authority, to do any of the 23 following things: 24 (a) To require or request, or to attempt to require or
- 25 request, any executive department or any executive agency

- 1 of the United States Government, or any officer or employee
- 2 serving in such department or agency, to violate any of the
- 3 provisions of section 1 of this Act.
- 4 (b) To require or request, or to attempt to require or
- 5 request, any person seeking to establish civil service status
- 6 or eligibility for employment in the executive branch of the
- 7 United States Government, or any person applying for em-
- 8 ployment in the executive branch of the United States Gov-
- 9 ernment, or any civilian employee of the United States
- 16 serving in any department or agency of the United States
- 11 Government, to submit to any interrogation or examination
- 12 or to take any psychological test which is designed to elicit
- 13 from him information concerning his personal relationship
- 14 with any person connected with him by blood or marriage,
- 15 or concerning his religious beliefs or practices, or concerning
- 16 his attitude or conduct with respect to sexual matters: Pro-
- 17 vided, however, That nothing contained in this subsection
- 18 shall be construed to prevent a physician from eliciting such
- 19 information or authorizing such tests in the diagnosis or
- 20 treatment of any civilian employee or applicant where such
- 21 physician deems such information necessary to enable him
- 22 to determine whether or not such individual is suffering
- 23 from mental illness: Provided further, however, That this
- 24 determination shall be made in individual cases and not pur-

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| 1  | suant to general practice or regulation governing the exami-    |
|----|-----------------------------------------------------------------|
| 2  | nation of employees or applicants according to grade, agency,   |
| 3  | or duties: Provided further, however, That nothing contained    |
| 4  | in this subsection shall be construed to prohibit an officer of |
| 5  | the Civil Service Commission from advising any civilian         |
| 6  | employee or applicant on a specific charge of sexual miscon-    |
| 7  | duct made against that person, and affording him an oppor-      |
| 8  | tunity to refute the charge.                                    |
| 9  | (c) To require or request, or to attempt to require             |
| 10 | or request, any person seeking to establish civil service       |
| 11 | status or eligibility for employment in the executive branch    |
| 12 | of the United States Government, or any person applying         |
| 13 | for employment in the executive branch of the United States     |
| 14 | Government, or any civilian employee of the United States       |
| 15 | serving in any department or agency of the United States        |
| 16 | Government, to take any polygraph test designed to elicit       |
| 17 | from him information concerning his personal relationship       |
| 18 | with any person connected with him by blood or marriage,        |
| 19 | or concerning his religious beliefs or practices, or concerning |
| 20 | his attitude or conduct with respect to sexual matters.         |
| 21 | SEC. 3. It shall be unlawful for any commissioned officer,      |
| 22 | as defined in section 101 of title 10, United States Code, or   |
| 23 | any member of the Armed Forces lacting or purporting to         |
| 24 | act under his authority, to require or request, or to attempt   |
| 25 | to require or request, any civilian employee of the executive   |

- 1 branch of the United States Government under his authority
- 2 or subject to his supervision to perform any of the acts or
- 3 submit to any of the requirements made unlawful by section
- 4 1 of this Act.
- 5 Sec. 4. Whenever any officer of any executive depart-
- 6 ment or any executive agency of the United States Gov-
- 7 ernment, or any person acting or purporting to act under his
- 8 authority, or any commissioned officer as defined in section
- 9 101 of title 10, United States Code, or any member of the
- 10 Armed Forces acting or purporting to act under his author-
- 11 ity, violates or threatens to violate any of the provisions of
- section 1, 2, or 3 of this Act, any civilian employee of the
- 13 United States serving in any department or agency of the
- 14 United States Government, or any person applying for
- employment in the executive branch of the United States
- 16 Government, or any person seeking to establish civil service
- 17 status or eligibility for employment in the executive branch
- 18 of the United States Government, affected or aggrieved by
- 19 the violation or threatened violation, may bring a civil action
- 20 in his own behalf or in behalf of himself and others
- 21 similarly situated, against the offending officer or person in
- 22 the United States district court for the district in which the
- <sup>23</sup> violation occurs or is threatened, or the district in which the
- <sup>24</sup> offending officer or person is found, or in the United States
- 25 District Court for the District of Columbia, to prevent

| 1  | the threatened violation or to obtain redress against the       |
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| 2  | consequences of the violation. The Attorney General shall       |
| 3  | defend all officers or persons sued under this section          |
| 4  | who acted pursuant to an order, regulation, or directive,       |
| 5  | or who, in his opinion, did not willfully violate the           |
| 6  | provisions of this Act. Such United States district court       |
| 7  | shall have jurisdiction to try and determine such civil action  |
| 8  | irrespective of the actuality or amount of pecuniary injury     |
| 9  | done or threatened, and without regard to whether the           |
| 10 | aggrieved party shall have exhausted any administrative         |
| 11 | remedies that may be provided by law, and to issue such         |
| 12 | restraining order, interlocutory injunction, permanent injunc-  |
| 13 | tion, or mandatory injunction, or enter such other judgment     |
| 14 | or decree as may be necessary or appropriate to prevent         |
| 15 | the threatened violation, or to afford the plaintiff and others |
| 16 | similarly situated complete relief against the consequences of  |
| 17 | the violation. With the written consent of any person           |
| 18 | affected or aggrieved by a violation or threatened violation    |
| 19 | of section 1, 2, or 3 of this Act, any employee organization    |
| 20 | may bring such action on behalf of such person, or may          |
| 21 | intervene in such action. For the purposes of this section,     |
| 22 | employee organizations shall be construed to include any        |
| 23 | brotherhood, council, federation, organization, union, or pro-  |
| 24 | fessional association made up in whole or in part of civilian   |
| 25 | employees of the United States and which has as one of its      |

- 1 purposes dealing with departments, agencies, commissions,
- 2 and independent agencies of the United States concerning
- 3 the condition and terms of employment of such employees.
- 4 Sec. 5. (a) There is hereby established a Board on
- 5 Employees' Rights (hereinafter referred to as the "Board").
- 6 The Board shall be composed of three members, appointed
- 7 by the President, by and with the advice and consent of the
- 8 Senate. The President shall designate one member as chair-
- 9 man. No more than two members of the Board may be of
- 10 the same political party. No member of the Board shall be
- 11 an officer or employee of the United States Government.
- 12 (b) The term of office of each member of the Board
- 13 shall be five years, except that (1) of those members first
- 14 appointed, one shall serve for five years, one for three years,
- and one for one year, respectively, from the date of enact-
- ment of this Act, and (2) any member appointed to fill
- 17 a vacancy occurring prior to the expiration of the term for
- 18 which his predecessor was appointed shall be appointed for
- 19 the remainder of such term.
- (c) Members of the Board shall be compensated at the
- 21 rate of \$75 a day for each day spent in the work of the
- 22 Board, and shall be paid actual travel expenses and per
- 23 diem in lieu of subsistence expenses when away from their
- <sup>24</sup> usual places of residence, as authorized by section 5703 of
- 25 title 5, United States Code.

| т  | (a) Two members shall constitute a quotum for the              |
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| 2  | transaction of business.                                       |
| 3  | (e) The Board may appoint and fix the compensation             |
| 4  | of such officers, attorneys, and employees, and make such      |
| 5  | expenditures as may be necessary to carry out its functions.   |
| 6  | (f) The Board shall make such rules and regulations            |
| 7  | as shall be necessary and proper to carry out its functions.   |
| 8  | (g) The Board shall have the authority and duty to             |
| 9  | receive and investigate written complaints from or on be-      |
| 10 | half of any person claiming to be affected or aggrieved by     |
| 11 | any violation or threatened violation of this Act and to con-  |
| 12 | duct a hearing on each such complaint. Within ten days         |
| 13 | after the receipt of any such complaint, the Board shall       |
| 14 | furnish notice of the time, place, and nature of the hearing   |
| 15 | thereon to all interested parties. The Board shall render      |
| 16 | its final decision with respect to any complaint within thirty |
| 17 | days after the conclusion of its hearing thereon.              |
| 18 | (h) Officers or representatives of any Federal employee        |
| 19 | organization in any degree concerned with employment of        |
| 20 | the category in which any alleged violation of this Act        |
| 21 | occurred or is threatened shall be given an opportunity to     |
| 22 | participate in each hearing conducted under this section,      |
| 23 | through submission of written data, views, or arguments,       |
| 24 | and in the discretion of the Board, with opportunity for oral  |

presentation. Government employees called upon by any

- 1 party or by any Federal employee organization to participate
- 2 in any phase of any administrative or judicial proceeding
- 3 under this section shall be free to do so without incurring
- 4 travel costs or suffering loss in leave or pay; and all such em-
- 5 ployees shall be free from restraint, coercion, interference,
- 6 intimidation, or reprisal in or because of their participation.
- 7 Any periods of time spent by Government employees during
- 8 such participation shall be held and considered to be Federal
- 9 employment for all purposes.
- 10 (i) Insofar as consistent with the purposes of this sec-
- 11 tion, the provisions of subchapter II of chapter 5 of title 5,
- 12 United States Code, relating to the furnishing of notice and
- 13 manner of conducting agency hearings, shall be applicable
- 14 to hearings conducted by the Board under this section.
- 15 (j) If the Board shall determine after hearing that a
- 16 violation of this Act has not occurred or is not threatened,
- 17 the Board shall state its determination and notify all inter-
- 18 ested parties of such determination. Each such determina-
- 19 tion shall constitute a final decision of the Board for purposes
- <sup>20</sup> of judicial review.
- 21 (k) If the Board shall determine that any violation of
- 22 this Act has been committed or threatened by any civilian
- 23 officer or employee of the United States, the Board shall
- 24 immediately (1) issue and cause to be served on such of-
- 25 ficer or employee an order requiring such officer or employee

| 1   | to cease and desist from the unlawful act or practice which |
|-----|-------------------------------------------------------------|
| 2   | constitutes a violation, (2) endeavor to eliminate any such |
| 3   | unlawful act or practice by informal methods of conference, |
| 4   | conciliation, and persuasion, and (3) may-                  |
| 5   | (A) (i) in the case of the first offense by any             |
| 6   | civilian officer or employee of the United States, other    |
| 7   | than any officer appointed by the President, by and with    |
| 8   | the advice and consent of the Senate, issue an official     |
| 9   | reprimand against such officer or employee or order the     |
| 10  | suspension without pay of such officer or employee from     |
| 1.1 | the position or office held by him for a period of not to   |
| 12  | exceed fifteen days, and (ii) in the case of a second       |
| 13  | or subsequent offense by any such officer or employee,      |
| 14  | order the suspension without pay of such officer or em-     |
| 15  | ployee from the position or office held by him for a        |
| 16  | period of not to exceed thirty days or order the removal    |
| 17  | of such officer or employee from such position or office;   |
| 18  | and                                                         |
| 19  | (B) in the case of any offense by any officer ap-           |
| 20  | pointed by the President, by and with the advice and        |
| 21  | consent of the Senate, transmit a report concerning such    |
| 22  | violation to the President and the Congress.                |
| 23  | (1) If the Board shall determine that any violation of      |
| 24  | this Act has been committed or threatened by any officer    |

of any of the Armed Forces of the United States, or any

- 1 person purporting to act under authority conferred by such
- 2 officer, the Board shall (1) submit a report thereon to the
- 3 President, the Congress, and the Secretary of the military
- 4 department concerned, (2) endeavor to eliminate any un-
- 5 lawful act or practice which constitutes such a violation by
- 6 informal methods of conference, conciliation, and persuasion,
- 7 and (3) refer its determination and the record in the case
- 8 to any person authorized to convene general courts-martial
- 9 under section 822 (article 22) of title 10, United States
- 10 Code. Thereupon such person shall take immediate steps
- 11 to dispose of the matter under chapter 47 of title 10, United
- 12 States Code (Uniform Code of Military Justice).
- (m) Any party aggrieved by any final determination
- 14 or order of the Board may institute, in the district court of
- 15 the United States for the judicial district wherein the viola-
- 16 tion or threatened violation of this Act occurred, or in the
- 17 United States District Court for the District of Columbia,
- 18 a civil action for the review of such determination or order.
- 19 In any such action, the court shall have jurisdiction to (1)
- 20 affirm, modify, or set aside any determination or order made
- 21 by the Board which is under review, or (2) require the
- 22 Board to make any determination or order which it is author-
- 23 ized to make under subsection (k), but which it has refused
- <sup>24</sup> to make. The reviewing court shall set aside any finding,
- conclusion, determination, or order of the Board as to which

- 1 complaint is made which is unsupported by substantial evi-
- 2 dence on the record considered as a whole.
- 3 (n) The Board shall submit, not later than March 31
- 4 of each year, to the Senate and House of Representatives,
- 5 respectively, a report on its activities under this section dur-
- 6 ing the immediately preceding calendar year, including a
- 7 statement concerning the nature of all complaints filed with
- 8 it, its determinations and orders resulting from hearings
- 9 thereon, and the names of all officers or employees of the
- 10 United States with respect to whom any penalties have been
- 11 imposed under this section.
- 12 (o) There are authorized to be appropriated sums nec-
- 13 essary, not in excess of \$100,000, to carry out the provisions
- 14 of this section.
- 15 SEC. 6. Nothing contained in this Act shall be construed
- 16 to prohibit an officer of the Central Intelligence Agency or
- 17 of the National Security Agency from requesting any civilian
- 18 employee or applicant to take a polygraph test, or to take a
- 19 psychological test, designed to elicit from him information
- 20 concerning his personal relationship with any person con-
- 21 nected with him by blood or marriage, or concerning his
- 22 religious beliefs or practices, or concerning his attitude or
- 23 conduct with respect to sexual matters, or to provide a per-
- 24 sonal financial statement, if the Director of the Central

- 1 Intelligence Agency or his designee or the Director of the
- <sup>2</sup> National Security Agency or his designee makes a personal
- 3 finding with regard to each individual to be so tested or
- 4 examined that such test or information is required to protect
- 5 the national security.
- 6 Sec. 7. No civilian employee of the United States serving
- 7 in the Central Intelligence Agency or the National Security
- 8 Agency, and no individual or organization acting in behalf
- 9 of such employee, shall be permitted to invoke the provisions
- 10 of sections 4 and 5 without first submitting a written com-
- 11 plaint to the agency concerned about the threatened or actual
- 12 violation of this Act and affording such agency one hundred
- 13 and twenty days from the date of such complaint to prevent
- 14 the threatened violation or to redress the actual violation:
- 15 Provided, however, That nothing in this Act shall be con-
- 16 strued to affect any existing authority of the Director of Cen-
- 17 tral Intelligence under section 403 (c), of title 50, United
- 18 States Code, and any authorities available to the National
- 19 Security Agency under section 833 of title 50, United States
- 20 Code, to terminate the employment of any employee.
- 21 Sec. 8. Nothing in this Act shall be construed to affect
- 22 in any way the authority of the Directors of the Central
- 23 Intelligence Agency or the National Security Agency to pro-
- 24 tect or withhold information pursuant to statute or executive

- 1 order. The personal certification by the Director of the
- 2 agency that disclosure of any information is inconsistent with
- 3 the provision of any statute or Executive order shall be con-
- 4 clusive and no such information shall be admissible in evi-
- 5 dence in any interrogation under section 1 (k) or in any
- 6 civil action under section 4 or in any proceeding or civil
- 7 action under section 5.
- 8 Sec. 9. This Act shall not be applicable to the Federal
- 9 Bureau of Investigation.
- 10 Sec. 10. Nothing contained in sections 4 and 5 shall
- 11 be construed to prevent establishment of department and
- 12 agency grievance procedures to enforce this Act, but the
- 13 existence of such procedures shall not preclude any applicant
- 14 or employee from pursuing the remedies established by this
- 15 Act or any other remedies provided by law: Provided,
- 16 however, That if under the procedures established, the em-
- 17 ployee or applicant has obtained complete protection against
- 18 threatened violations or complete redress for violations, such
- 19 action may be pleaded in bar in the United States district
- 20 court or in proceedings before the Board on Employee
- 21 Rights: And provided further, That if an employee elects
- 22 to seek a remedy under either section 4 or section 5, he
- 23 waives his right to proceed by an independent action under
- 24 the remaining section.

#### Approved For Release 2005/08/03: CIA-RDP81-00818R000100050004-5

21

- 1 Sec. 11. If any provision of this Act or the application
- 2 of any provision to any person or circumstance shall be held
- 3 invalid, the remainder of this Act or the application of such
- 4 provision to persons or circumstances other than those as to
- 5 which it is held invalid, shall not be affected.

Passed the Senate December 8, 1971.

Attest:

FRANCIS R. VALEO,

Secretary.

92D CONGRESS 1ST SESSION

To protect the civilian employees of the executive branch of the United States Governmental invasions of their privacy. rights and to prevent unwarranted government in the enjoyment of their constitutional

kelerred to the Committee on Post Office and Civil

Service

DECEMBER 9, 1971

Approved For Release 2005/08/03: CIA-RDP81-00818R000100050004-5

92D CONGRESS 1ST SESSION

# H. R. 11150

#### IN THE HOUSE OF REPRESENTATIVES

OCTOBER 7, 1971

Mr. Hanley (for himself, Mr. Brasco, Mr. Udall, Mr. Charles H. Wilson, Mr. Galifianakis, Mr. Matsunaga, and Mr. Murrhy of New York) introduced the following bill; which was referred to the Committee on Post Office and Civil Service

### A BILL

To amend title 5, United States Code, to protect civilian employees of the executive branch of the United States Government in the enjoyment of their constitutional rights, to prevent unwarranted governmental invasions of their privacy, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 That (a) chapter 71 of title 5, United States Code, is
- 4 amended by adding at the end thereof the following new
- 5 subchapter III:
- 6 "SUBCHAPTER III—EMPLOYEE RIGHTS
- 7 "§ 7171. Policy
- 8 "It is the policy of the United States, as an employer,
- 9 to assure that those officials of Executive agencies charged

# KARD TIL

| 1  | with administrative or supervisory responsibility recognize   |
|----|---------------------------------------------------------------|
| 2  | and protect the personal and individual rights, entitlements, |
| 3  | and benefits of employees of, and applicants for employment   |
| 4  | in, Executive agencies.                                       |
| 5  | "§ 7172. Definition                                           |
| 6  | "For the purpose of this subchapter, 'official of an          |
| 7  | Executive agency' means—                                      |
| 8  | "(1) an officer of an Executive agency;                       |
| 9  | "(2) an 'officer' of any of the 'uniformed services'          |
| 10 | as such terms are defined under section 101 of title          |
| 11 | 37; and                                                       |
| 12 | "(3) an individual acting or purporting to act                |
| 13 | under the authority of an officer referred to in para-        |
| 14 | graph (1) or (2) of this section.                             |
| 15 | "§ 7173. Employee rights                                      |
| 16 | "(a) An official of an Executive agency may not—              |
| 17 | "(1) require or request, or attempt to require                |
| 18 | or request, an employee of an Executive agency or an          |
| 19 | applicant for employment in an Executive agency to            |
| 20 | disclose his race, religion, or national origin, or the race, |
| 21 | religion, or national origin of any of his forebears. This    |
| 22 | paragraph does not prohibit inquiry concerning-               |
| 23 | "(A) the citizenship of an employee or appli-                 |
| 24 | cant;                                                         |
| 25 | "(B) the national origin of an employee or                    |

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| 1          | applicant when that inquiry is considered necessary      |
|------------|----------------------------------------------------------|
| 2          | or advisable to determine suitability for assign-        |
| 3          | ment to activities or undertakings related to the        |
| 4          | national security of the United States or to law         |
| 5          | enforcement or to activities or undertakings of any      |
| 6          | nature outside the United States;                        |
| 7          | "(C) the race, religion, or national origin of an        |
| 8          | employee or applicant when that matter is in issue       |
| 9          | in an allegation or complaint of discrimination; or      |
| 1.0        | "(D) the race, religion, or national origin of an        |
| 11         | employee or applicant when (i) that matter is di-        |
| 12         | rectly related to or an integral part of scientific re-  |
| 13         | search or program evaluation, (ii) appropriate safe-     |
| <b>l</b> 4 | guards have been instituted to preserve both the         |
| 15         | voluntary participation and the anonymity of the         |
| 16         | employee or applicant, and (iii) the inquiry has         |
| 17         | been approved by the Civil Service Commission.           |
| 18         | This paragraph does not prohibit an inquiry made to      |
| 19         | satisfy the requirements of law providing preference for |
| 20         | Indians in connection with functions or services affect- |
| 21         | ing Indians;                                             |
| 22         | "(2) coerce, require, or request, or attempt to          |
| 23         | coerce, require, or request, an employee of an Executive |
| 24         | agency to attend or participate in a formal or informal  |
| <b>25</b>  | meeting assemblage or other group activity held to       |

| 1.          | present, advocate, develop, explain, or otherwise cover    |
|-------------|------------------------------------------------------------|
| 2           | in any way, by lecture, discussion, discourse, instruction |
| 3           | visual presentation, or otherwise, any matter or subject   |
| 4           | other than—                                                |
| 5           | "(A) the performance of official duties to                 |
| 6           | which that employee is of may be assigned in the           |
| 7           | Executive agency; or                                       |
| 8           | "(B) the development of skills, knowledge, or              |
| ,9          | abilities that qualify him for the performance of          |
| 10          | those official duties;                                     |
| 11          | "(3) coerce, require, or request, or attempt to            |
| 12          | coerce, require, or request, an employee of an Executive   |
| 13          | agency to—                                                 |
| 14          | "(A) participate in any way in an activity of              |
| 15          | undertaking unless it is related to the performance        |
| 16          | of official duties to which the employee is or may         |
| 17          | be assigned in the Executive agency or related to          |
| 18          | the development of skills, knowledge, or abilities         |
| 19          | that qualify him for the performance of those official     |
| 20          | duties; or                                                 |
| 21          | "(B) make any report concerning any activity               |
| 22          | or undertaking of the employee not involving his           |
| 23          | official duties, except—                                   |
| 24          | "(i) when there is reason to believe that                  |
| <u> 2</u> 5 | the activity or undertaking conflicts with or              |

| 1   | adversely affects the performance of, his official            |
|-----|---------------------------------------------------------------|
| 2   | duties; or                                                    |
| 3   | "(ii) as authorized to the contrary under                     |
| 4   | paragraph (6) of this subsection.                             |
| 5   | This paragraph does not prohibit the use of appropriate       |
| 6   | publicity to inform employees of requests for assistance      |
| 7   | from public service programs or organizations;                |
| 8   | "(4) require or request, or attempt to require or             |
| 9 . | request, an employee of an Executive agency or an ap-         |
| 10  | plicant for employment in an Executive agency to submit       |
| 11  | to an interrogation or examination or to take a polygraph     |
| 12  | or psychological test designed to elicit from the employee    |
| 13  | or applicant information concerning his personal relation-    |
| 14  | ship with any individual related to him by blood or mar-      |
| 15  | riage, his religious beliefs or practices, or his attitude or |
| 16  | conduct with respect to sexual matters. This paragraph        |
| 17  | does not prohibit—                                            |
| 18  | "(A) a physician from eliciting this informa-                 |
| 19  | tion or authorizing these tests in the diagnosis or           |
| 20  | treatment of an employee or applicant in individual           |
| 21  | cases and not pursuant to general practice or regu-           |
| 22  | lation governing the examination of employees or              |
| 23  | applicants, when the physician considers the infor-           |
| 24  | mation necessary to enable him to determine                   |

| 1  | whether or not the employee or applicant is suffer       |
|----|----------------------------------------------------------|
| 2  | ing from mental illness;                                 |
| 3  | "(B) an official of an Executive agency from             |
| 4  | advising an employee or applicant of a specific          |
| 5  | charge of sexual misconduct made against the em-         |
| 6  | ployee or applicant and giving him a full oppor-         |
| 7  | tunity to refute the charge; or                          |
| 8  | "(C) an official of an Executive agency from             |
| 9  | eliciting, from an employee or applicant, in individ-    |
| 10 | ual cases and not pursuant to general practice or        |
| 11 | regulation, information concerning the personal re-      |
| 12 | lationship of the employee or applicant with any         |
| 13 | individual related to him by blood or marriage, when     |
| 14 | that official considers the information necessary in     |
| 15 | the interest of national security;                       |
| 16 | "(5) coerce or require, or attempt to coerce or          |
| 17 | require, an employee of an Executive agency to invest    |
| 18 | his earnings in bonds or other obligations or securities |
| 19 | issued by the United States or by an Executive agency,   |
| 20 | or to make donations to any institution or cause of any  |
| 21 | kind. This paragraph does not prohibit an official of an |
| 22 | Executive agency from calling meetings and taking any    |
| 23 | action appropriate to inform an employee of the op-      |
| 24 | portunity—                                               |
| 25 | "(A) voluntarily to invest his earnings in               |

| 1   | bonds or other obligations or securities issued by         |
|-----|------------------------------------------------------------|
| 2   | the United States or by an Executive agency; or            |
| 3   | "(B) voluntarily to make donations to any                  |
| 4   | institution or cause;                                      |
| 5   | "(6) require or request, or attempt to require or          |
| 6   | request, an employee of an Executive agency (other         |
| 7   | than a Presidential appointee) to disclose his property or |
| 8   | the property of any member of his family or household.     |
| 9   | This paragraph does not prohibit—                          |
| 10  | "(A) the Department of the Treasury or any                 |
| 11  | other Executive agency from requiring an employee          |
| 12  | to make such reports as may be necessary or appro-         |
| 13  | priate for the determination of his liability for taxes,   |
| 14. | tariffs, customs duties, or similar obligations to the     |
| 15  | United States; or                                          |
| 16  | "(B) an official of an Executive agency from               |
| 17  | requiring an employee who participates (other than         |
| 18  | in a clerical capacity) in any determination with re-      |
| 19  | spect to                                                   |
| 20  | "(i) a Government contract or grant;                       |
| 21  | "(ii) the regulation of non-Federal enter-                 |
| 22  | prise;                                                     |
| 23  | "(iii) the tax or other liability of any per-              |
| 24  | son to the United States; or                               |

8 .

| 1   | "(iv) a claim that requires expenditure of                |
|-----|-----------------------------------------------------------|
| 2   | money of the United States;                               |
| 3.  | from disclosing specific items of the property of         |
| 4 . | that employee, or specific items of the property of       |
| 5.  | any member of his family or household, which              |
| 6   | may tend to indicate a conflict of interest with re-      |
| 7.  | spect to the performance of any of the official duties    |
| 8.  | to which the employee is or may be assigned.              |
| 9   | As used in this paragraph, 'property' includes items      |
| 10. | of property, income, and other assets, and the source     |
| 11  | thereof, liabilities, and personal and domestic expendi-  |
| 12  | tures;                                                    |
| 13  | "(7) prohibit or restrict, or attempt to prohibit         |
| 14  | or restrict, the exercise by an employee of an Executive  |
| 15  | agency of the right of reasonable communication with      |
| 16  | any official of his agency; or                            |
| 17  | "(8) remove, suspend or furlough from duty with-          |
| 18  | out pay, demote, reduce in rank seniority, status, pay,   |
| 19  | or performance or efficiency rating, deny promotion to,   |
| 20  | relocate, reassign, discipline, or discriminate in regard |
| 21  | to any employment right, entitlement, or benefit or any   |
| 22  | term or condition of employment of, an employee of an     |
| 23  | Executive agency, or threaten to commit any of those      |
| 24  | acts, by reason of—                                       |
| 25  | "(A) the refusal or failure of the employee               |

| 1          | to submit to or comply with any requirement, re-               |
|------------|----------------------------------------------------------------|
| 2          | quest, or action prohibited by this subsection; or             |
| 3          | "(B) the exercise by the employee of any                       |
| 4          | right, entitlement, benefit, or other protection               |
| 5          | granted or secured by this section and section 7175            |
| 6          | of this title.                                                 |
| 7          | "(b) The provisions of subsection (a) of this section do       |
| .8         | not apply to                                                   |
| 9          | "(1) the Central Intelligence Agency;                          |
| 10         | "(2) the National Security Agency;                             |
| 11         | "(3) the Federal Bureau of Investigation; or                   |
| 12         | "(4) any other Executive agency, or part thereof,              |
| 13         | as the President, in the interest of national security,        |
| 14         | may recommend to the Congress.                                 |
| <b>1</b> 5 | The exemption recommended by the President and trans-          |
| 16         | mitted to the Congress under paragraph (4) of this sub-        |
| 17         | section shall become effective at the end of the first period  |
| 18         | of 30 calendar days of continuous session of the Congress      |
| <b>1</b> 9 | after the date on which the recommendation is transmitted      |
| 20         | unless, between the date of transmittal and the end of the     |
| 21         | 30-day period, either the committee of the House of Repre-     |
| 22         | sentatives or the committee of the Senate to which the recom-  |
| 23         | mendation has been referred adopts a resolution which specifi- |
| 24         | cally disapproves the exemption so recommended and trans-      |
|            | H.R. 111502                                                    |

- 1 mitted. The continuity of a session is broken only by an ad-
- 2 journment of the Congress sine die. The days on which
- 3 either House is not in session because of an adjournment of
- 4 more than 3 days to a day certain are excluded in the compu-
- 5 tation of the 30-day period.
- 6 "(c) (1) An employee of, or an applicant for employ-
- 7 ment in, an Executive agency who claims to be aggrieved by
- 8 a violation or threatened violation of subsection (a) of this
- 9 section is entitled to file a grievance with the agency con-
- 10 cerned not later than 15 days after the date of the violation
- 11 or threatened violation.
- 12 "(2) If—
- "(A) the decision on the grievance by the Execu-
- 14 tive agency is adverse to the employee or applicant; or
- "(B) after 60 days from the date the grievance is
- filed the Executive agency has not issued a decision on
- the grievance;
- 18 the employee or applicant is entitled to file a complaint with
- 19 the Board on Employee Rights not later than 15 days after
- 20 the adverse decision or the expiration of the 60-day period,
- 21 as the case may be.
- 22 "§ 7174. Board on Employee Rights
- 23 "(a) There is hereby established a Board on Employee
- 24 Rights composed of three members appointed by the Presi-
- 25 dent, by and with the advice and consent of the Senate, one

- 1 of whom shall be a representative of a labor organization,
- 2 or association of supervisors, representing employees. Not
- 3 more than two members of the Board may be adherents of the
- 4 same political party and none of the members of the Board
- 5 may hold another office or position in the Government of the
- 6 United States. The President shall from time to time desig-
- 7 nate one of the members as chairman.
- 8 "(b) The term of office of each member of the Board
- 9 is 6 years. A member appointed to fill a vacancy occurring
- 10 before the end of the term of office of his predecessor serves
- 11 for the remainder of that term. When the term of office of a
- 12 member ends, he may continue to serve until his successor
- 13 is appointed and has qualified. The President may remove
- 14 a member only for inefficiency, neglect of duty, or mal-
- 15 feasance in office.
- "(c) Two members of the Board constitute a quorum
- 17 for the transaction of business.
- "(d) The Board may appoint and fix the pay of such
- 19 officers, attorneys, and employees, and make such expendi-
- 20 tures, as may be necessary to carry out its functions.
- 21 "(e) The Board shall prescribe rules and regulations
- <sup>22</sup> necessary and proper to carry out its functions under this
- <sup>23</sup> subchapter. To the extent consistent with efficient and eco-
- 24 nomical administration and the attainment and achievement
- <sup>25</sup> of justice in the consideration and disposition of matters be-

- 1 fore the Board, the rules and regulations shall provide for the
- 2 use of depositions of witnesses. The rules and regulations
- 3 shall also prescribe the maximum attorney's remuneration
- 4 which may be awarded under section 7176 (c) of this title
- 5 for services performed in connection with any matter before
- 6 the Board, or the court, or both, under this subchapter. The
- 7 Board may require, by subpena or otherwise, the attendance
- 8 and testimony of witnesses, and the production of such
- 9 books, records, correspondence, memoranda, papers, and
- 10 documents, as it considers necessary.
- "(f) (1) The Board shall receive and investigate written
- 12 complaints, filed under section 7173 (c) of this title, from
- 13 or on behalf of an employee or applicant claiming to be
- 14 aggrieved by a violation or threatened violation of section
- 15 7173 (a) of this title. On receipt of such a complaint, the
- 16 Board forthwith shall transmit a copy thereof to the head
- 17 of the Executive agency concerned.
- "(2) If the Board determines, within 10 days after
- 19 its receipt of the complaint, that the facts alleged in the
- 20 complaint do not constitute a violation or threatened viola-
- 21 tion of section 7173 (a) of this title with respect to the
- 22 employee or applicant, it may dismiss the complaint without
- 23 a hearing. If the Board dismisses the complaint, it shall
- 24 notify all interested parties of the dismissal.

| 1          | "(3) If the Board does not dismiss the complaint with-        |
|------------|---------------------------------------------------------------|
| 2          | in 10 days after its receipt thereof, it shall-               |
| 3          | "( $\Lambda$ ) conduct a hearing on the complaint within      |
| 4          | 30 days after its receipt of the complaint; and               |
| 5          | "(B) furnish notice of the time, place, and nature            |
| 6          | of the hearing thereon to all interested parties.             |
| 7          | If a hearing on the complaint is to be conducted—             |
| 8          | "(i) the Executive agency concerned shall file an             |
| 9          | answer to the complaint and participate as a party in         |
| 10         | the hearing; and                                              |
| 1.1        | "(ii) any official of that agency, who is alleged,            |
| 12         | in the complaint or during the course of the hearing,         |
| 13         | to have committed a violation or threatened viola-            |
| 14         | tion of section 7173 (a) of this title, is entitled, in his   |
| <b>1</b> 5 | individual capacity, to file an answer to the allegation      |
| 16         | and participate as a party in the hearing.                    |
| 17         | "(4) The Board shall render its final decision with re-       |
| 18         | spect to any complaint within 30 days after the conclusion    |
| <b>1</b> 9 | of its hearing thereon.                                       |
| 20         | "(g) With the written consent of the employee or ap-          |
| 21         | plicant concerned, filed with the Board, an officer or repre- |
| 22         | sentative of not more than one labor organization, or asso-   |
| 23         | ciation of supervisors, representing employees shall be given |
| 24         | an opportunity to participate in each hearing conducted un-   |
|            | H.R. 11150——3                                                 |

| 1   | der this section, through submission of written data, views, or |
|-----|-----------------------------------------------------------------|
| 2   | arguments, and, in the discretion of the Board, with oppor-     |
| 3   | tunity for oral presentation.                                   |
| 4   | "(h) Insofar as consistent with the purposes of this sec-       |
| 5   | tion, the provisions of subchapter II of chapter 5 of this      |
| 6   | title apply to the rulemaking, hearing, and adjudication        |
| 7   | functions of the Board under this section.                      |
| 8   | "(i) If, after hearing, the Board determines that a vio-        |
| 9   | lation of section 7173 (a) of this title has not occurred or is |
| 1.0 | not threatened, the Board shall state its determination and     |
| 11  | notify all interested parties of the determination. Each such   |
| 12  | determination, including a dismissal by the Board of the        |
| 13  | complaint without a hearing, constitutes a final decision of    |
| 14  | the Board for purposes of judicial review.                      |
| 15  | "(j) If, after hearing, the Board determines that a vio-        |
| 16  | lation of section 7173 (a) of this title has been committed     |
| 17  | or threatened by an official of an Executive agency not sub-    |
| 18  | ject to chapter 47 of title 10, the Board-                      |
| 19  | "(1) shall immediately issue and cause to be served             |
| 20  | on the official an order requiring him to cease and desist      |
| 21  | from the unlawful act or practice which constitutes a           |
| 22  | violation;                                                      |
| 23  | "(2) shall immediately endervor to eliminate any                |
| 24  | such unlawful act or practice by informal methods of            |
| 25  | conference, conciliation, and persussion; and                   |

| 1  | "(3) may, without regard to chapter 75 of this                |
|----|---------------------------------------------------------------|
| 2  | title—                                                        |
| 3  | "(A) (i) in the case of the first offense by                  |
| 4  | such an official, other than any official appointed           |
| 5  | by the President, by and with the advice and con-             |
| 6  | sent of the Senate, issue an official reprimand against       |
| 7  | the official or order the suspension without pay of           |
| 8  | the official from the position or office held by him          |
| 9  | for a period of not to exceed 15 days; and                    |
| 10 | "(ii) in the case of a second or subsequent                   |
| 11 | offense by such official, order the suspension with-          |
| 12 | out pay of the official from the position or office           |
| 13 | held by him for a period of not less than 15 nor              |
| 14 | more than 60 days or, when the Board considers                |
| 15 | such second or subsequent offense to be sufficiently          |
| 16 | serious to warrant such action, order the removal             |
| 17 | of the official from the position or office; and              |
| 18 | "(B) in the case of any offense by such an                    |
| 19 | official appointed by the President, by and with              |
| 20 | the advice and consent of the Senate, transmit a              |
| 21 | report concerning the violation to the President and          |
| 22 | the Congress.                                                 |
| 23 | A reprimand or order under subparagraph (3) (A) of this       |
| 24 | subsection shall not become effective until the expiration of |
| 25 | the period within which the official aggricued by the repri-  |

| 1   | mand or order may file a petition for review or complaint        |
|-----|------------------------------------------------------------------|
| 2   | for trial de novo or, if such a petition or complaint is filed,  |
| 3   | until the court makes a final disposition of the case.           |
| 4   | "(k) If, after hearing, the Board determines that a              |
| 5   | violation of section 7173 (a) of this title has been com-        |
| 6   | mitted or threatened by an official of an Executive agency       |
| 7   | subject to chapter 47 of title 10, the Board shall-              |
| 8   | "(1) submit a report thereon to the Secretary of                 |
| 9   | the military department concerned;                               |
| 10  | "(2) endeavor to eliminate any unlawful act or                   |
| 11  | practice which constitutes such a violation by informal          |
| 12  | methods of conference, conciliation, and persuasion; and         |
| 13  | "(3) refer its determination and the record in the               |
| 14  | case to the Secretary concerned, as defined in section           |
| 15  | 101 of title 10, who shall take immediate steps to dis-          |
| 16  | pose of the matter under chapter 47 of title 10.                 |
| 1.7 | However, the immediate steps referred to in paragraph (3)        |
| 18  | of this subsection shall not be taken by the Secretary con-      |
| 19  | cerned until the expiration of the period within which the       |
| 20  | official aggrieved by the reference to the Secretary by the      |
| 21  | Board under that paragraph may file a petition for review or     |
| 22  | complaint for trial de novo or, if sucl a petition or complaint  |
| 23  | is filed, until the court makes a final disposition of the case. |
| 24  | "(1) (1) The Board shall submit, not later than March            |
| 25  | 31 of each year, to the President for transmittal to the Con-    |

| 1  | gress a report on its activities under this subchapter during    |
|----|------------------------------------------------------------------|
| 2  | the immediately preceding calendar year, including-              |
| 3  | "(A) the types and kinds of complaints filed with                |
| 4  | the Board;                                                       |
| 5  | "(B) the determinations, orders, and actions of the              |
| 6  | Board with respect to those complaints;                          |
| 7  | "(C) the name of each official of an Executive                   |
| 8  | agency with respect to whom any action was taken or              |
| 9  | penalty imposed under subsection (j) of this section;            |
| 10 | "(D) the nature of that action or penalty; and                   |
| 11 | "(E) such other matters as the Board considers                   |
| 12 | relevant and appropriate to provide full and complete            |
| 13 | information with respect to the operation and administra-        |
| 14 | tion of this subchapter.                                         |
| 15 | "(2) The Secretary of each military department shall             |
| 16 | submit, not later than March 31 of each year, to the Presi-      |
| 17 | dent for transmittal to the Congress, a report on his activities |
| 18 | under this subchapter during the immediately preceding           |
| 19 | calendar year, including—                                        |
| 20 | "(A) the disposition, under chapter 47 of title 10,              |
| 21 | of matters referred to the Secretary under paragraph             |
| 22 | (3) of subsection (k) of this section;                           |
| 23 | "(B) the name of each official of an Executive                   |
| 24 | agency with respect to whom any action was taken or              |
| 25 | penalty imposed under such chapter;                              |

| 1        | "(C) the nature of that action or penalty; and                   |
|----------|------------------------------------------------------------------|
| <b>2</b> | "(D) such other matters; as the Secretary con-                   |
| 3        | siders relevant and appropriate to provide full and com-         |
| 4        | plete information with respect to his activities under           |
| 5        | this subchapter.                                                 |
| 6        | "§ 7175. Judicial review                                         |
| 7        | "(a) An employee, or applicant for employment, ag-               |
| 8        | grieved by a final determination of order of the Board on        |
| 9        | Employee Rights may file, within 30 days after the date          |
| 10       | of that determination or order, in the district court of the     |
| 11       | United States for the judicial district in which the alleged     |
| 12       | violation or threatened violation of section 7173 (a) of this    |
| 13       | title occurred or in which his official duty station was located |
| 14       | at the time of the alleged violation or threatened violation-    |
| 15       | "(1) a petition for a review of the determination or             |
| 16       | order; or                                                        |
| 17       | "(2) a complaint for a triel de novo on the viola-               |
| 18       | tion or threatened violation of section 7173 (a) of this         |
| 19       | title, which was the subject of the determination or order       |
| 20       | of the Board.                                                    |
| 21       | The petition or complaint shall name as defendant both the       |
| 22       | Executive agency concerned and the Board on Employee             |
| 23       | Rights. An official, or former official, of an Executive         |
| 24       | agency—                                                          |
| 25       | "(A) with respect to whom, in connection with the                |

| 1                                            | petition for review, there is involved an alleged viola-                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2                                            | tion or threatened violation by him of section 7173 (a)                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 3                                            | of this title;                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 4                                            | "(B) with respect to whom the complaint for a                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 5                                            | trial de novo, or the trial pursuant to the complaint, in-                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 6                                            | volves an alleged violation or threatened violation by                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 7                                            | him of section 7173 (a) of this title; or                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 8                                            | "(C) aggrieved by a final determination or order                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 9                                            | of the Board, or part or application thereof, in connec-                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 10                                           | tion with such alleged violation or threatened violation;                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 11                                           | is entitled, in his individual capacity, to file an answer with                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 12                                           | respect to such violation or threatened violation and partici-                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 13                                           | pate as a party in the proceedings.                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                                              | pate as a party in the proceedings.  "(b) If, after the expiration of 30 days after the date                                                                                                                                                                                                                                                                                                                                                                                                       |
| 14                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 13<br>14<br>15<br>16                         | "(b) If, after the expiration of 30 days after the date                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 14<br>15<br>16                               | "(b) If, after the expiration of 30 days after the date of a final determination or order of the Board, a petition or                                                                                                                                                                                                                                                                                                                                                                              |
| 14<br>15<br>16<br>17                         | "(b) If, after the expiration of 30 days after the date of a final determination or order of the Board, a petition or complaint with respect to such determination or order has                                                                                                                                                                                                                                                                                                                    |
| 14<br>15                                     | "(b) If, after the expiration of 30 days after the date of a final determination or order of the Board, a petition or complaint with respect to such determination or order has not been filed under subsection (a) of this section, an official                                                                                                                                                                                                                                                   |
| 14<br>15<br>16<br>17                         | "(b) If, after the expiration of 30 days after the date of a final determination or order of the Board, a petition or complaint with respect to such determination or order has not been filed under subsection (a) of this section, an official or former official of an Executive agency aggrieved by that                                                                                                                                                                                       |
| 114<br>115<br>116<br>117<br>118              | "(b) If, after the expiration of 30 days after the date of a final determination or order of the Board, a petition or complaint with respect to such determination or order has not been filed under subsection (a) of this section, an official or former official of an Executive agency aggrieved by that determination or order, or part or application thereof, may                                                                                                                           |
| 14<br>15<br>16<br>17<br>18<br>19             | "(b) If, after the expiration of 30 days after the date of a final determination or order of the Board, a petition or complaint with respect to such determination or order has not been filed under subsection (a) of this section, an official or former official of an Executive agency aggrieved by that determination or order, or part or application thereof, may file, within 30 days after the expiration of such 30-day                                                                  |
| 14<br>15<br>16<br>17<br>18<br>19<br>20<br>21 | "(b) If, after the expiration of 30 days after the date of a final determination or order of the Board, a petition or complaint with respect to such determination or order has not been filed under subsection (a) of this section, an official or former official of an Executive agency aggrieved by that determination or order, or part or application thereof, may file, within 30 days after the expiration of such 30-day period, in the district court of the United States for the judi- |

| 1          | violation or threatened violation, a petition for review of  |
|------------|--------------------------------------------------------------|
| 2          | the determination or order, or part or application thereof.  |
| 3          | "(c) A petition for review or complaint for trial de         |
| 4          | novo filed under subsection (a) or (b) of this section shall |
| 5          | name as defendant both the Executive agency concerned and    |
| 6          | the Board, and a copy thereof shall be served on the Execu-  |
| 7          | tive agency concerned and the Board.                         |
| 8          | "(d) When a copy of a petition for review is served on       |
| 9          | the Board, a certified copy of the record on which the final |
| 10         | determination or order of the Board is based shall be filed  |
| 11         | with the court. On filing of a petition with the court, and  |
| <b>1</b> 2 | in its consideration of the petition the court shall have    |
| 13         | jurisdiction to—                                             |
| 14         | "(1) issue such restraining order, interlocutory             |
| 15         | injunction, permanent injunction, or mandatory injunc-       |
| 16         | tion, as may be necessary and appropriate with respect       |
| 17         | to any determination or order, or part or application        |
| 18         | thereof, made by the Board which is under review;            |
| 19         | "(2) affirm, modify, or set aside any such deter-            |
| 20         | mination or order, or part or application thereof;           |
| 21         | "(3) require the Board to make any determination             |
| 22         | or order which it is authorized to make under section        |
| 23         | 7174 (j) of this title, but which it has failed or refused   |
| 24         | to make; and                                                 |
| 25         | "(4) remand the matter to the Board for appropri-            |

| ate action by the Board and the Executive agency                  |
|-------------------------------------------------------------------|
| concerned in accordance with the decision of the court.           |
| 3 The reviewing court shall set aside any finding, conclusion,    |
| 4 determination, or order of the Board as to which a com-         |
| 5 plaint is made that is unsupported by substantial evidence      |
| 6 on the record considered as a whole.                            |
| 7 "(e) On the filing of a complaint for a trial de novo,          |
| 8 the court shall have jurisdiction to—                           |
| 9 "(1) try and determine the action, irrespective of              |
| the existence or amount of pecuniary injury done or               |
| threatened; and                                                   |
| "(2) issue such restraining order, interlocutory in-              |
| junction, permanent injunction, or mandatory injunction,          |
| or enter such other judgment or decree, as may be neces-          |
| sary or appropriate to prevent the threatened violation           |
| or to afford the plaintiff and others similarly situated          |
| complete relief against the consequences of any violation.        |
| 18 The court shall decide all questions of law in any action      |
| 19 under this subsection. The court, upon application by either   |
| 20 party, shall order a trial by jury of the issues in any action |
| 21 under this subsection.                                         |
| 22 "(f) With the written consent, filed with the court, of        |
| 23 an employee, applicant for employment, official of an Execu-   |
| 24 tive agency, or former official of an Executive agency ag-     |
| 25 grieved by a final determination or order of the Board, who    |

- 1 is entitled to file a petition for review, a complaint for a
- 2 trial de novo, or answer, or to participate as a party in any
- 3 proceeding, under this section, not more than one labor
- 4 organization, or association of supervisors, representing em-
- 5 ployees may intervene in connection with the review or the
- 6 trial de novo.

## <sup>7</sup> "§ 7176. General provisions

- 8 "(a) An individual called on to participate in any phase
- 9 of an administrative or judicial proceeding under this sub-
- 10 chapter shall be free from restraint, coercion, interference,
- 11 intimidation, or reprisal in the course of, or because of, his
- 12 participation.
- 13 "(b) An employee or an official of an Executive agency
- 14 who is a party to the action, summoned, or assigned by
- 15 his agency to appear, including an appearance to give his
- 16 deposition, before the Board on Employee Rights, or before
- 17 the appropriate court, in connection with any matter before
- 18 the Board or the court under this subchapter, shall not incur
- 19 a loss of or reduction in any right, entitlement, or benefit as
- 20 an employee or official of that agency. A period of such
- 21 absence within his regularly scheduled tour of duty is service
- 22 performed by the employee or official while on official busi-
- 23 ness. Travel by the employee or official during a period of
- such absence, whether or not performed within his regularly
- 25 scheduled tour of duty, is travel on official business.

| 1          | "(c) On written application certifying his expenses and        |
|------------|----------------------------------------------------------------|
| $2^{-}$    | charges filed with the Board on Employee Rights by an          |
| 3          | attorney representing a party to the action who has appeared   |
| 4          | before the Board, or the appropriate court, in connection      |
| 5          | with any matter before the Board, or the court, or both, un-   |
| 6          | der this subchapter, which has been determined by the Board    |
| 7          | or the court, in favor of the party represented by the at-     |
| 8          | torney, the Board may allow, at the conclusion of the rep-     |
| 9          | resentation and in accordance with the regulations prescribed  |
| 10         | under section 7174 (e) of this title, such remuneration to the |
| 11         | attorney as it considers reasonable and proper and shall cer-  |
| 12         | tify to the Executive agency concerned the amount of the       |
| 13         | attorney's remuneration granted by it. The agency shall pay    |
| 14         | the certified amount of such remuneration, in accordance       |
| <b>1</b> 5 | with the following provisions:                                 |
| 16         | "(1) the agency shall charge against such certi-               |
| 17         | fied amount of remuneration all sums previously paid           |
| 18         | to the attorney by the party represented;                      |
| 19         | "(2) if the sums previously paid to the attorney               |
| 20         | by that party for such representation equal or exceed          |
| 21         | the certified amount of the attorney's remuneration, the       |
| 22         | agency shall reimburse that party in that certified            |
| 23         | amount; and                                                    |
| 24         | "(3) if the sums previously paid to the attorney               |
| <b>25</b>  | by that party for such representation are less than that       |

- 1 certified amount, the agency shall reimburse that party
- 2 in the amount paid by that party and shall pay to the
- 3 attorney an amount equal to the difference between the
- 4 certified amount of the attorney's remuneration and the
- 5 aggregate of the sums previously paid by that party to
- 6 the attorney.".
- 7 (b) The analysis of chapter 71 of title 5, United States
- 8 Code, is amended by adding the following at the end thereof:

## "SUBCHAPTER III—EMPLOYEE RIGHTS

"Sec.

"7171. Policy.

"7172. Definition.

"7173. Employee rights.

"7174. Board on Employee Rights.

"7175. Judicial review.

"7176. General provisions.".

- 9 (c) Section 5316 of title 5, United States Code, is
- 10 amended by adding at the end thereof:
- "(131) Members of the Board on Employee
- 12 Rights (3).".
- 13 SEC. 2. Subchapter III of chapter 71 of title 5, United
- 14 States Code, as added by this Act, shall apply only with re-
- 15 spect to acts, violations, threatened violations, grievances,
- 16 and other similar matters covered by such subchapter which
- 17 arise or occur on or after such date following the date of
- 18 enactment of this Act as the Board on Employee Rights,
- 19 established by the amendments made by the first section
- 20 of this Act, shall prescribe but in no event later than the

25

- 1 one hundred and eightieth day following such date of enact-
- 2 ment.
- 3 Sec. 3. Notwithstanding section 7174 of title 5, United
- 4 States Code, as added by the first section of this Act, the
- 5 terms of office of the three members first appointed to the
- 6 Board on Employee Rights shall end, as designated by the
- 7 President, one at the end of 2 years, one at the end of 4
- 8 years, and one at the end of 6 years.

## A BILL

To amend title 5, United States Code, to protect civilian employees of the executive branch of the United States Government in the enjoyment of their constitutional rights, to prevent unwarranted governmental invasions of their privacy, and for other purposes.

By Mr. Hanley, Mr. Brasco, Mr. Udall, Mr. Charles H. Wilson, Mr. Galifianakis, Mr. Matsunaga, and Mr. Murphy of New York

OCTOBER 7.1971

Referred to the Committee on Post Office and Civil

Service